

Unified Speech and Text Pre-Training

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Outline

- Background
 - Text/speech pre-training
 - The big convergence
- Our Work
 - SpeechT5: Unified-modal encoder-decoder pre-training for speech tasks
 - SpeechUT: Bridge speech and text with hidden unit for enc-dec pre-training
 - SpeechLM: Enhanced speech pre-training with unpaired textual data
 - VATLM: Visual-audio-text pre-training for speech representation learning
- Summary

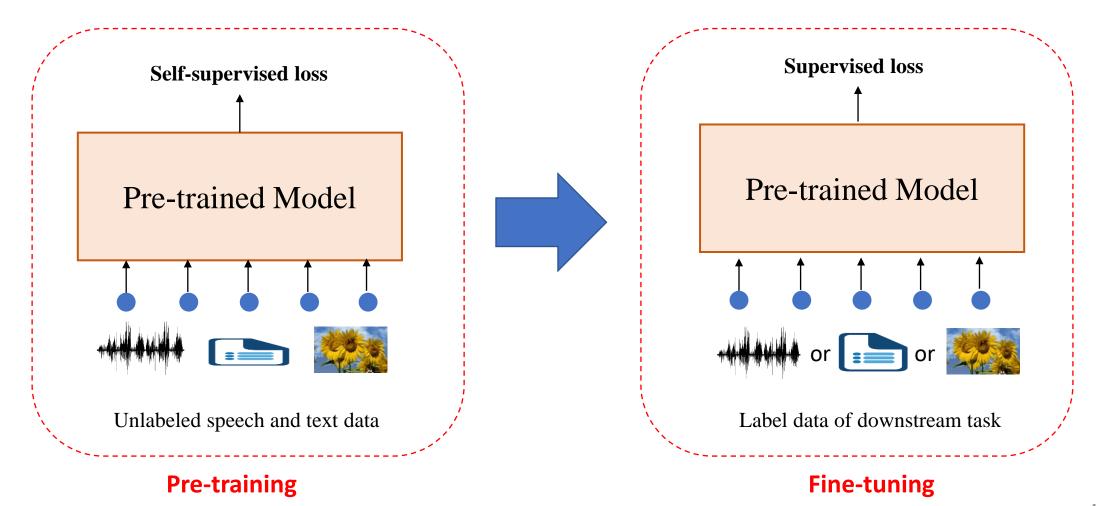


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Pre-Training Method: A New Paradigm





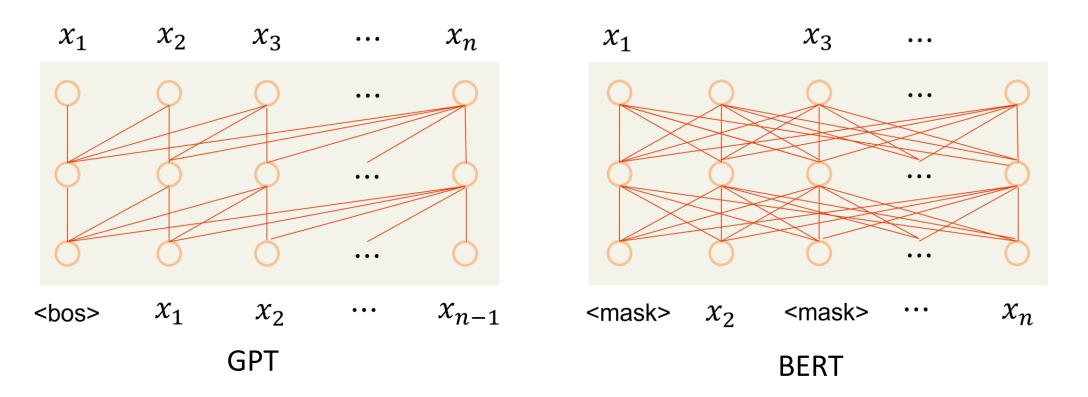
Why Pre-Trained Models?

- Pre-trained models capture task-agnostic general knowledge from massive labeled and unlabeled data.
- Pre-trained models transfer learned knowledge to downstream tasks, and support almost all NLP/Speech tasks.
- Pre-trained models provide a scalable solution to various applications, which require less training and require less effort.



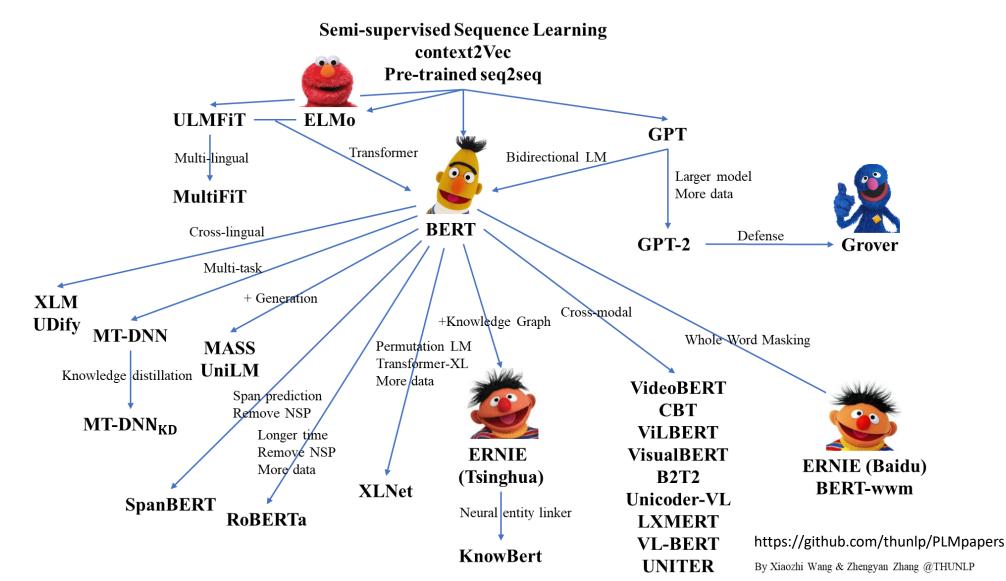
Text Pre-Training

- GPT-1/2/3
- BERT





Text Pre-Training



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Speech Pre-Training

- Speech is unique and different from text
 - Speech inputs are much longer than text, e.g., 1s has 16000 frames for 16K HZ audio.
 - Speech inputs are continuous without a predefined dictionary (like the vocabulary of text data).
 - Speech inputs vary in the sentence length without segment boundaries.
 - Speech inputs contain more information than text, e.g., content and speaker information.

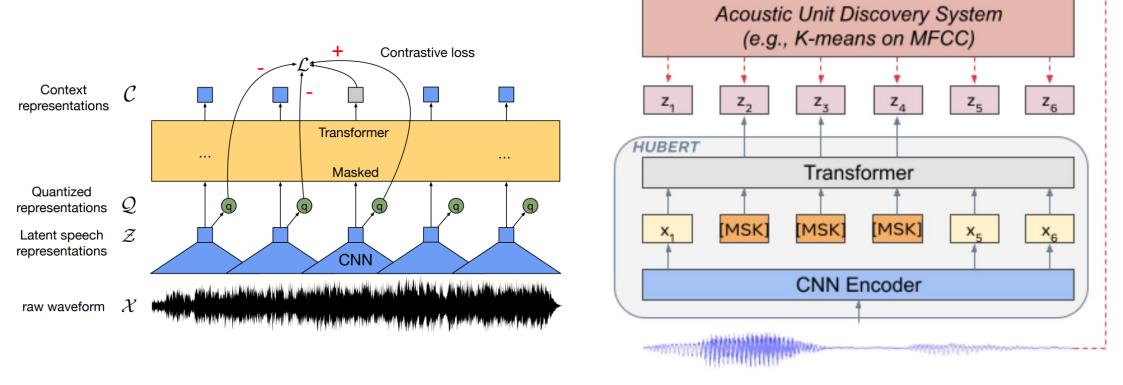


Speech is different from text.



Speech Pre-Training

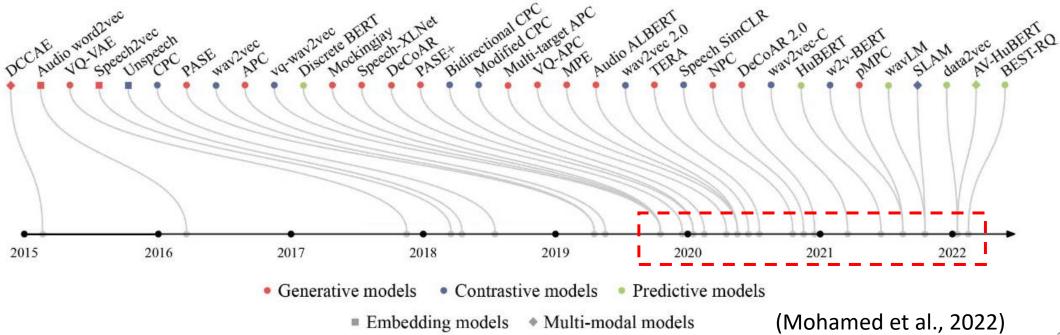
- wav2vec 2.0
- HuBERT





Speech Pre-Training

- Contrastive methods (e.g., CPC, wav2vec 2.0)
- Predictive methods (e.g., HuBERT, WavLM)
- Generative methods (e.g., APC, Audio-MAE)



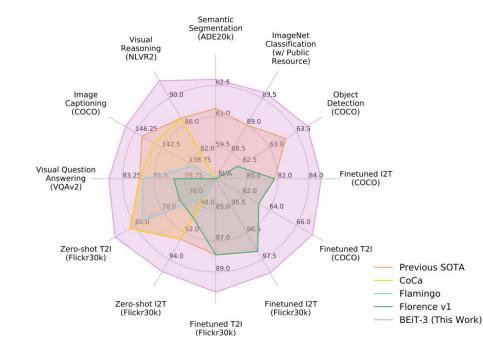


The Big Convergence

- Model architecture
 - Transformers becomes the de facto backbone networks across AI areas like NLP, CV, and Speech.
- Training paradigm
 - Mainstream: pre-training then fine-tuning
 - Others: prompt learning
- Pre-training tasks
 - Self-supervised pre-training tasks converge across different modalities
 - Generative learning: language to vision and audio
 - Contrastive learning: vision to language and audio



The Big Convergence



TorchScale - A Library for Transformers at (Any) Scale

license MIT pypi package 0.1.1

TorchScale is a PyTorch library that allows researchers and developers to scale up Transformers efficiently and effectively. It has the implementation of fundamental research to improve modeling generality and capability as well as training stability and efficiency of scaling Transformers.

- Stability DeepNet: scaling Transformers to 1,000 Layers and beyond
- Generality Foundation Transformers (Magneto): towards true general-purpose modeling across tasks and modalities (including language, vision, speech, and multimodal)
- Efficiency X-MoE: scalable & finetunable sparse Mixture-of-Experts (MoE)

Github: https://github.com/microsoft/torchscale

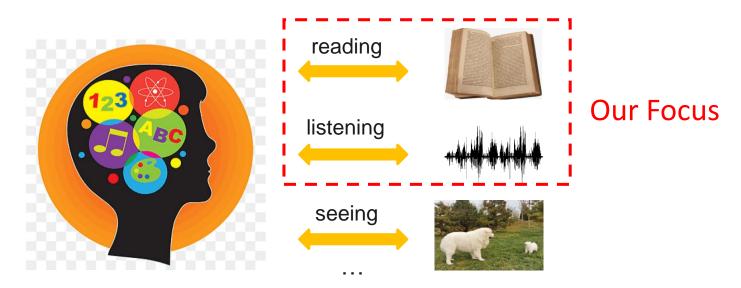
TORCHSCALE: Transformers at Scale

BEIT-3: <u>Image as a Foreign Language: BEIT</u> Pretraining for All Vision and Vision-Language Tasks



Motivation of Our Work

- The convergence is a big trend across different modalities, e.g., text, speech, image, and video.
- Speech and text are two important carriers of human communication. They are two similar modalities with natural alignment relationship.
- Push the convergence of speech and text.





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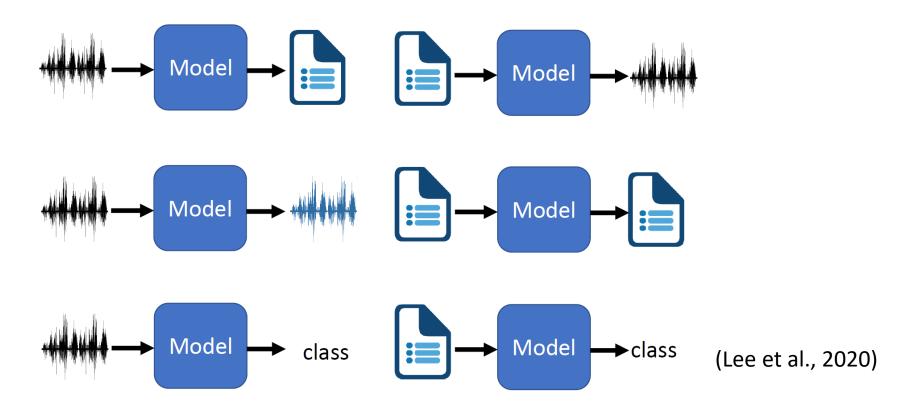
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Background: Spoken Language Processing

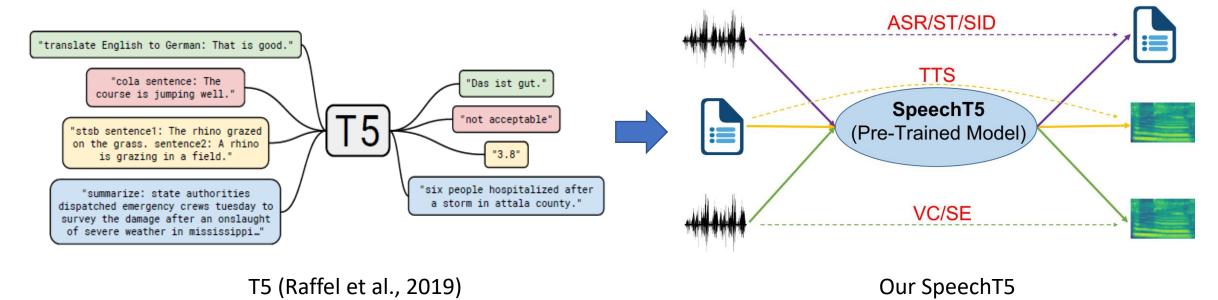
• Speech/Text \rightarrow Speech/Text





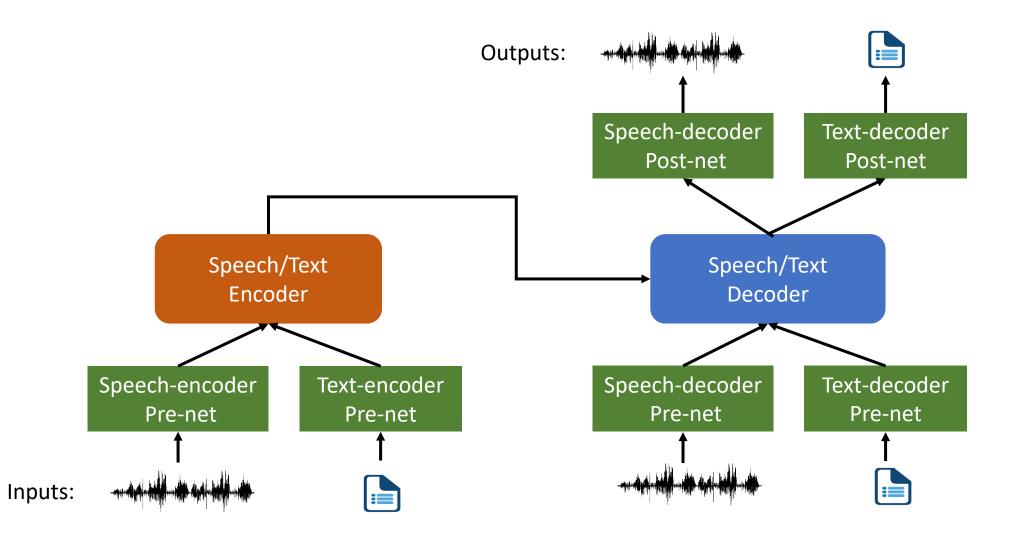
SpeechT5: Motivation

- How to pre-train a model for all spoken language tasks?
 - Convert all spoken language tasks as a speech/text to speech/text problem
 - Pre-train a single encoder-decoder model with unlabeled speech and text data



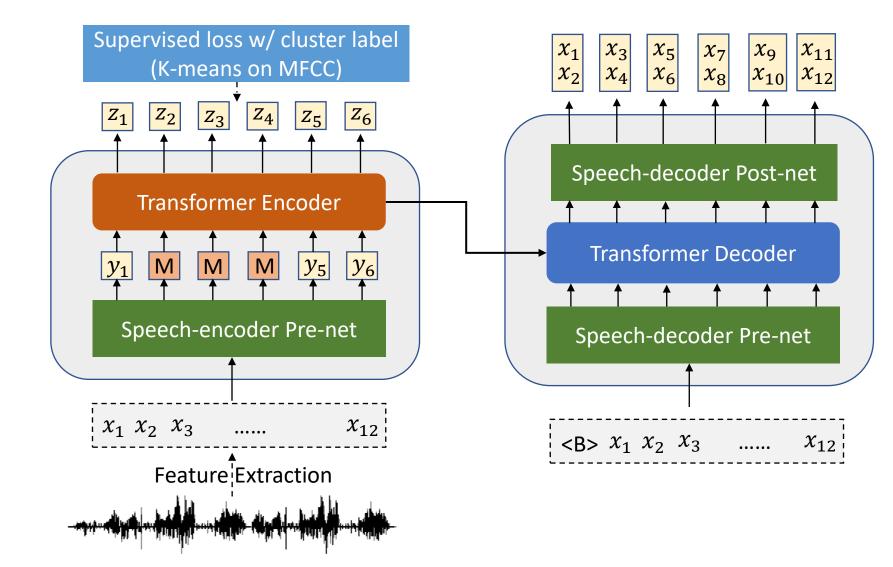


SpeechT5: Model Structure



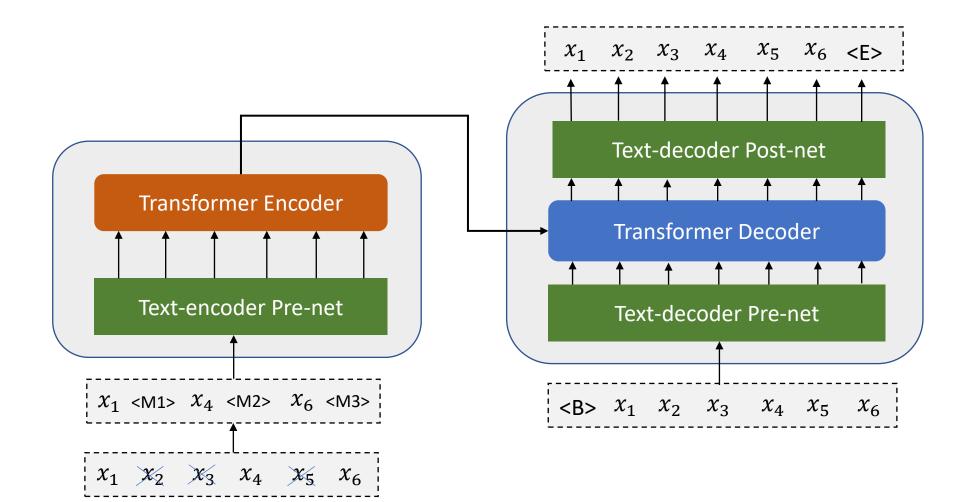


Pre-Train with Unlabeled Speech



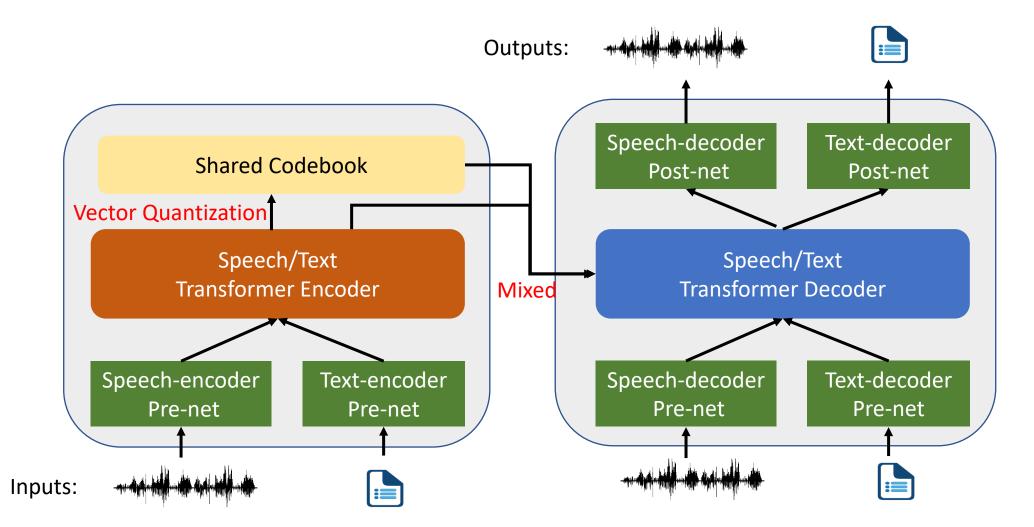


Pre-Train with Unlabeled Text





Joint Pre-Train with Speech and Text





Joint Pre-Train with Speech and Text

 s_i^{mix} **Speech** mix up C_i • Step 1: vector quantization Quantizer $\mathbf{c}_i = rg\min_{j \in [K]} \|\mathbf{s}_i - \mathbf{c}_j\|_2$ Si **Speech/Text** Text **Transformer Encoder** • Step 2: randomly mix up Cross-modality quantized latent speech/text $\mathbf{s}_{i}^{mix} = \begin{cases} \mathbf{c}_{i}, \text{ if } \theta \leq 0.1 \\ \mathbf{s}_{i}, \text{ others} \end{cases}$ Speech-encoder Text-encoder representations Pre-net Pre-net



Outputs

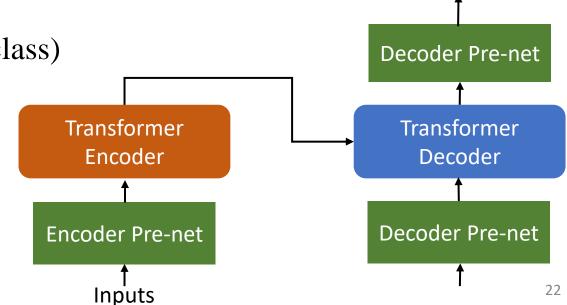
SpeechT5: Fine-Tune

• Fine-tuning tasks

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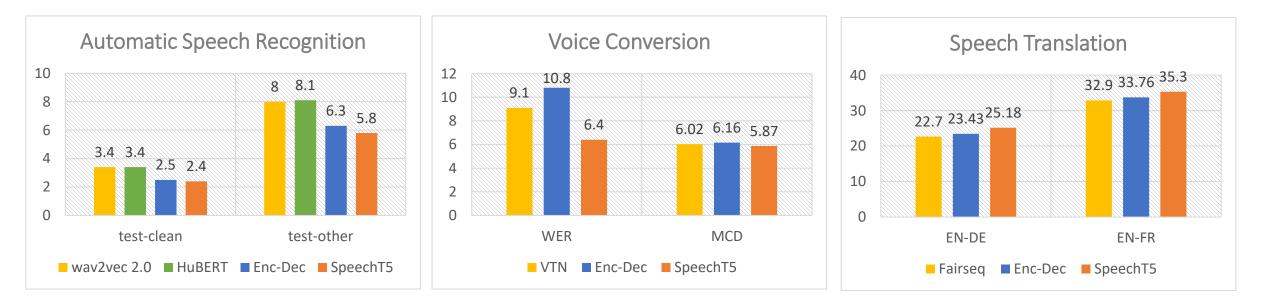
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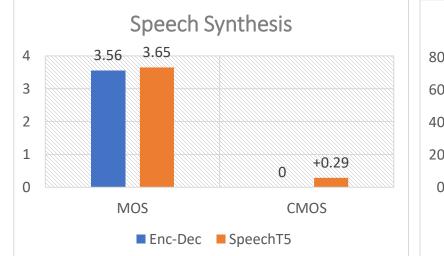
- Speech conversion (speech to speech)
- Automatic speech recognition (speech to text)
- Speech synthesis (text to speech)
- Speech Identification (Speech to class)

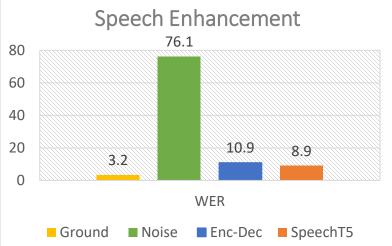


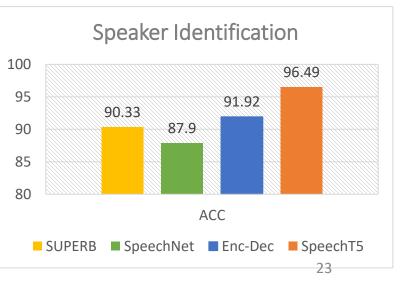
SpeechT5: Evaluation













SpeechT5: Ablation Study

- Verify the effectiveness of different pre-training losses
 - Speech, text, and joint pre-training methods are important to SpeechT5.
 - Speech pre-training is more critical than text pre-training on these tasks that need to encode speech.

Model	AS	SR	VC	SID		
	clean	other	VC	510		
SpeechT5	4.4	10.7	5.93	96.49%		
w/o Speech PT	-	-	6.49	38.61%		
w/o Text PT	5.4	12.8	6.03	95.60%		
w/o Joint PT	4.6	11.3	6.18	95.54%		
w/o \mathcal{L}^s_{mlm}	7.6	22.4	6.29	90.91%		



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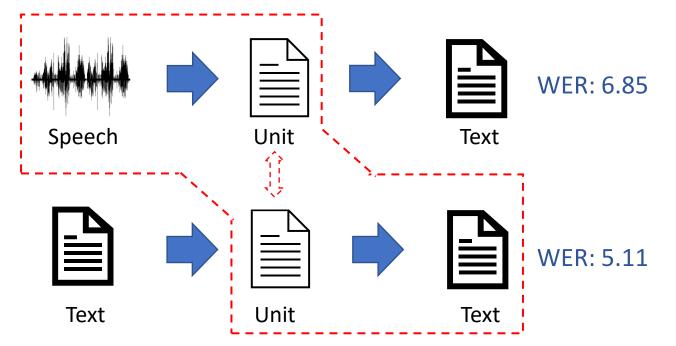
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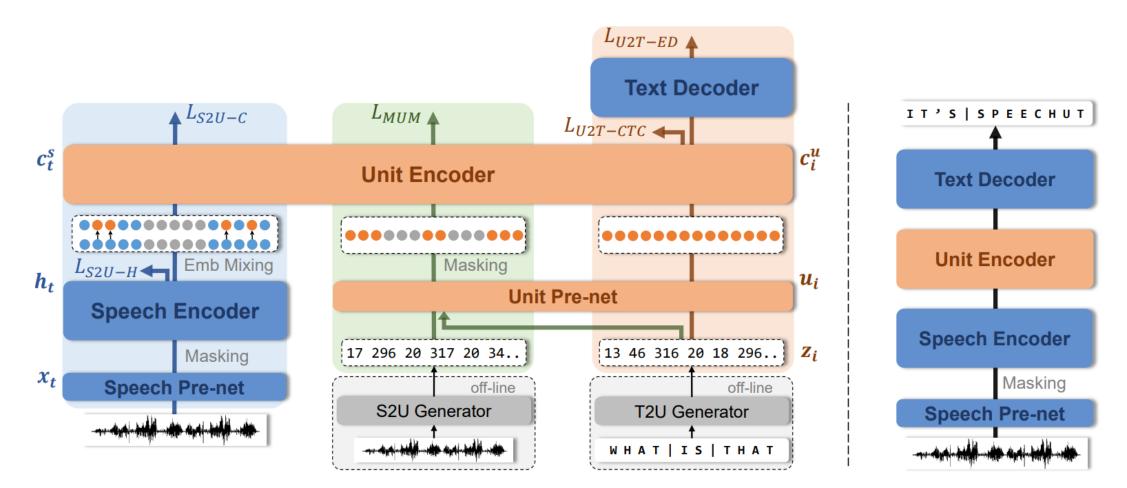
SpeechUT: Motivation

- Design for cross-modal tasks, e.g., ASR and ST
 - Our preliminary observation shows hidden units have strong mapping relationship with both speech and text.
 - This inspires us to decompose the speech-to-text model into a speech-to-unit model and unit-to-text model.





SpeechUT: Model Architecture



(a) Pre-training pipeline of SpeechUT

(b) Fine-tuning for ASR/ST



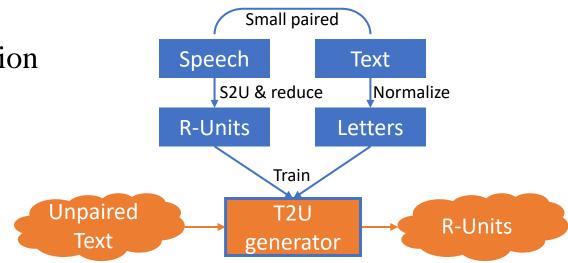
SpeechUT: Pre-Training Tasks

- Speech-to-unit task
 - Use speech encoder and unit encoder
 - Predict the unit categories of the masked positions of a speech sequence based on the non-mask region.
- Unit-to-text task
 - Use unit encoder and text decoder
 - Perform the unit-to-text transformation as a regular sequence-to-sequence task like standard Transformer.
- Masked unit modeling task
 - Use unit encoder
 - Recover the hidden units of masked positions of a unit sequence.



SpeechUT: Data Acquisition

- Speech-unit data (S2U generator)
 - Unsupervised clustering model: e.g., HuBERT
- Unit-text data (T2U generator)
 - A sequence-to-sequence model
 - R(educed)-Units: 17 17 17 17 296 296 20 20 20 34 34,... \rightarrow 17 296 20 34,...
- Unit data
 - Combination



Training and inference of the T2U generator



SpeechUT: Embedding Mixing

- Embedding mixing mechanism
 - Mix the embeddings of two modalities

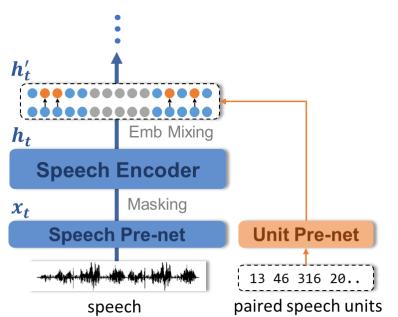
$$h_{t}^{'} = \begin{cases} u_{t} & t \in \mathcal{R} - \mathcal{M} \\ h_{t} & \text{otherwise} \end{cases}$$

 ${\mathcal R}$ is the selected positions for mixing,

 ${\mathcal M}$ is the masked positions,

 $\mathcal{R} - \mathcal{M}$ is for preventing information leakage

- Perform in S2U pre-training task
- SpeechUT can employ the mechanism on all unlabeled data





SpeechUT: ASR Evaluation

	Model	Size	Pre-training Data		WER (\downarrow) Without LM		WER (\downarrow) With LM			
	Model	Size	Speech	Paired	Text	test-clean	test-other	LM	test-clean	test-other
-	960h hours pre-trained									
Encoder-based	wav2vec 2.0 (Baevski et al., 2020)	Base (0.1B)	960h	-	-	6.1	13.3	4-gram	3.4	8.0
	HuBERT (Hsu et al., 2021)	Base (0.1B)	960h	-	-	6.3	13.2	4-gram	3.4	8.1
	WavLM (Chen et al., 2021)	Base (0.1B)	960h	-	-	5.7	12.0	4-gram	3.4	7.7
	ILS-SSL (Wang et al., 2022b)	Base (0.1B)	960h	-	-	4.7	10.1	4-gram	3.0	6.9
	data2vec (Baevski et al., 2022)	Base (0.1B)	960h	-	-	4.2*	9.7*	4-gram	2.8	6.8
	PBERT (Wang et al., 2022a)	Base (0.15B)	960h	$100h^{\dagger}$	-	4.7	10.7	4-gram	3.1	7.3
Encoder-Decoder	SpeechT5 (Ao et al., 2022a)	Base (0.15B)	960h	-	40M	4.4	10.4	Transf.	2.4	5.8
	Speech2C (Ao et al., 2022b)	Base (0.15B)	960h	-	-	4.3	9.0	Transf.	2.4	5.2
	Wav2seq (Wu et al., 2022)	Base (0.15B)	960h	-	-	-	11.2	-	-	-
	wav2vec 2.0 (Baevski et al., 2020)	Large (0.3B)	960h	-	-	4.7	9.0	Transf.	2.3	5.0
	Baseline (Ours)	Base (0.15B)	960h	-	40M	3.8	8.0	Transf.	2.3	5.1
	SpeechUT (Ours)	Base (0.15B)	960h	$100h^{\dagger}$	40M	2.7	6.8	Transf.	2.0	4.5
60kh hours pre-trained										
-	wav2vec 2.0 (Baevski et al., 2020)	Large (0.3B)	60k h	-	-	3.1	6.3	Transf.	2.0	4.0
	HuBERT (Hsu et al., 2021)	Large (0.3B)	60k h	-	-	-	-	Transf.	2.1	3.9
	WavLM (Chen et al., 2021)	Large (0.3B)	94k h	-	-	-	-	Transf.	2.1	4.0
	ILS-SSL (Wang et al., 2022b)	Large (0.3B)	60k h	-	-	2.9	5.8	Transf.	2.0	4.0
	STPT (Tang et al., 2022)	Base (0.16B)	60k h	100h	40M	3.5	7.2	-	-	-
	SpeechUT (Ours)	Large (0.38B)	60k h	$100h^{\dagger}$	40M	2.2	4.5	Transf.	1.9	3.6



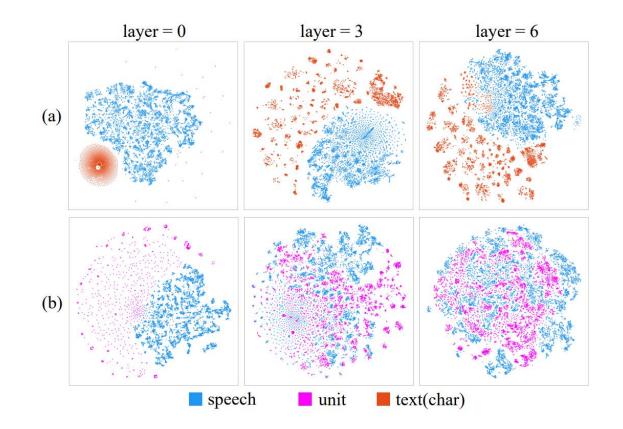
SpeechUT: ST Evaluation

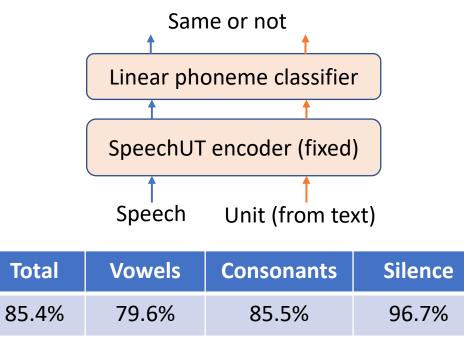
Models	Sizes	Pr	Fine-tuning BLEU ([†])				
WIOUCIS		Speech (h)	ASR (h)	MT (#utt)	En-De	En-Es	En-Fr
FAT-ST (Zheng et al., 2021)	-	3.7k	1.4~1.5k	1.9~2.0M	25.5	30.8	-
SATE (Xu et al., 2021a)	-	-	1.4k	18 M	28.1	-	-
STEMM (Fang et al., 2022)	-	960	$408 {\sim} 504$	4.6~40M	28.7	31.0	37.4
ConST (Ye et al., 2022)	0.15B	960	$408 {\sim} 504$	4.6~40M	28.3	32.0	38.3
STPT (Tang et al., 2022)	0.16B	60k	$408 {\sim} 504$	4.6~40M	29.2^{6}	33.1	39.7
SpeechUT (Ours)	0.16B	1.4~1.5k	100^{\dagger}	4.6~40M	30.1	33.6	41.4

Our SpeechUT achieves the performance of 30.1, 33.6, and 41.4 BLEU scores on En-De, En-Es, and En-Fr, respectively, demonstrating the superiority of SpeechUT over previous work.



Are the Speech and Unit Aligned?





(Proportion where the paired speech and unit representations agree to the same phonemes.)

Quantitative analysis

Visualization analysis



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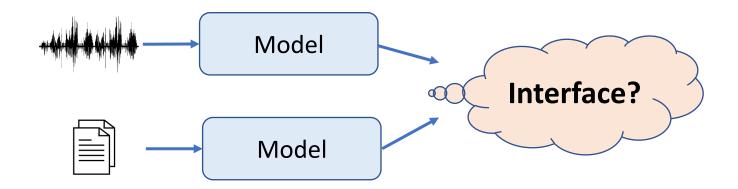
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SpeechLM: Motivation

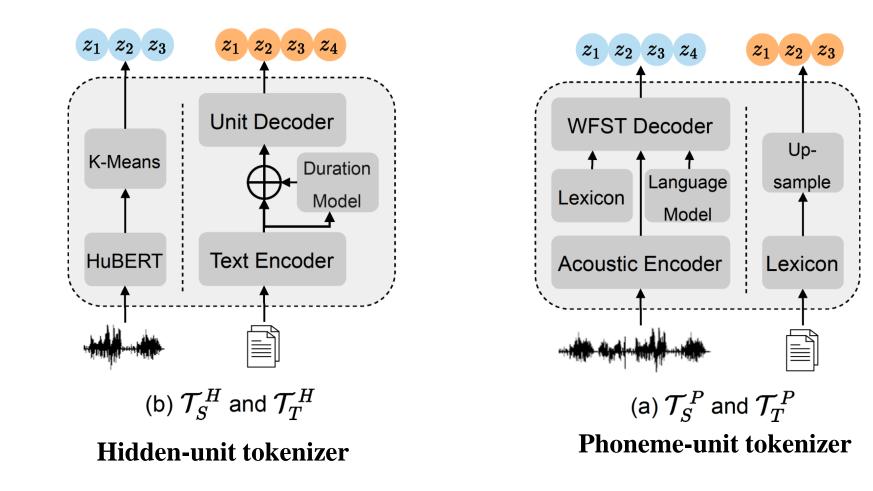
- How to boost speech pre-training with textual data is an unsolved problem.
- Almost all previous work follows the same structure with a speech/text encoder and a shared encoder, however,
 - \circ the interface between the speech encoder and the text encoder is not well studied
 - \circ probably leads to the outputs of the two encoders in different spaces
 - $\circ~$ suffers from transfer interference and capacity dilution for the shared encoder





SpeechLM: Tokenizer

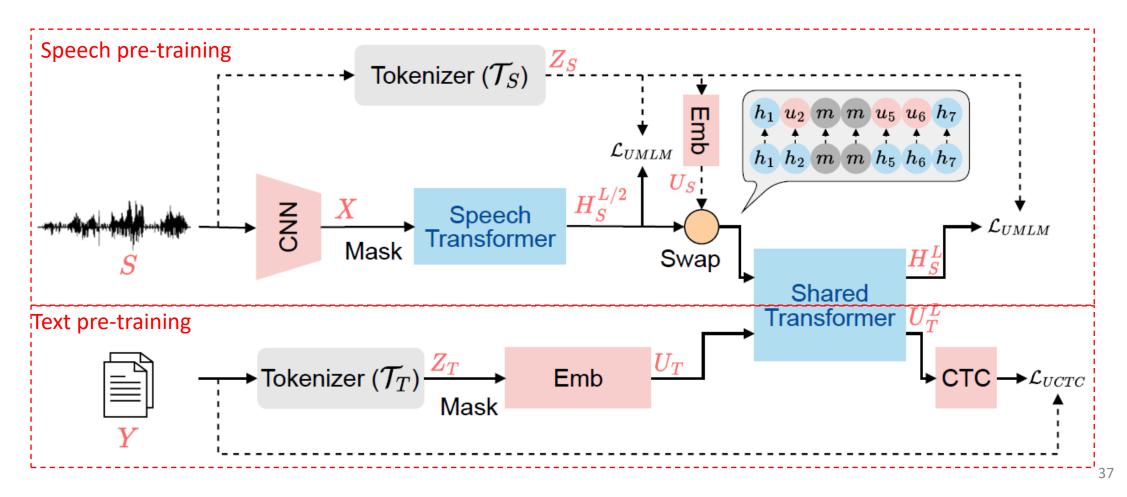
• Convert speech/text into the same space





SpeechLM: Framework

• Equip with discrete tokenizers





SpeechLM: Pre-Training Tasks

- Unit-based Masked Language Modeling (UMLM)
 - Predict the unit tokens from the masked speech

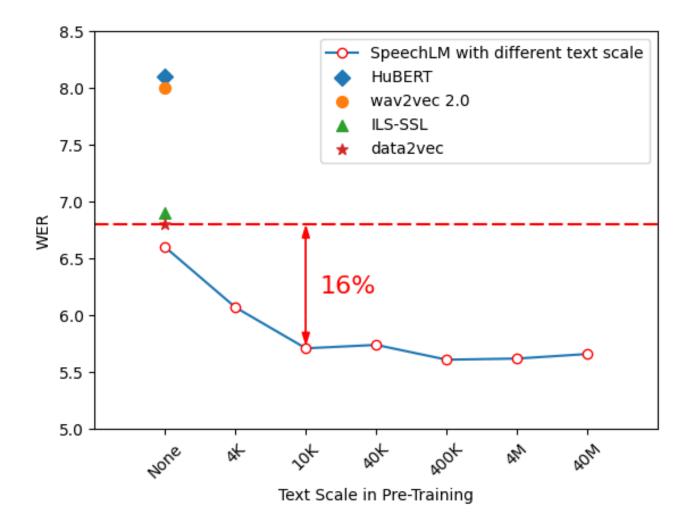
$$\mathcal{L}_{UMLM} = -\sum_{t \in \mathcal{M}} \left(\log p(z_t | h_t^{L/2}) + \log p(z_t | h_t^L) \right)$$

- Unit-based Connectionist Temporal Classification (UCTC)
 - Reconstruct the whole text sequences from the masked unit sequences.

$$\mathcal{L}_{UCTC} = -\log p_{CTC}(\boldsymbol{Y}|\boldsymbol{U}^L)$$



SpeechLM: ASR Evaluation





SpeechLM: ST Evaluation

Pre-trained Model	Size (Encoder)	en-de	en-ca	en-ar	en-tr	avg
Pre-ASR (Wang et al., 2020)	-	16.3	21.8	12.1	10.0	15.1
HuBERT (Hsu et al., 2021) *	Base (0.1B)	21.6	28.4	15.9	14.4	20.1
SpeechLM-H	Base (0.1B)	23.8	30.9	17.9	16.1	22.2
SpeechLM-P	Base (0.1B)	24.2	31.2	18.3	16.2	22.5
wav2vec 2.0 (Wang et al., 2021a)	Large (0.3B)	23.8	32.4	17.4	15.4	22.3
SLAM (Bapna et al., 2021)	X-Large (0.6B)	27.2	33.3	18.5	16.8	24.0
SLAM→w2v-bert (Bapna et al., 2021)	X-Large (0.6B)	27.1	34.2	21.2	17.5	25.0
SpeechLM-P	Large (0.3B)	27.6	35.9	21.7	19.5	26.2

□ SpeechLM-H and SpeechLM-P achieve comparable results in the Base setting, and 2.4 BLEU improvement over HuBERT Base.

□ Moreover, the proposed SpeechLM Large model significantly outperforms previous work.



SpeechLM: SUPERB Evaluation

				Speaker				Content				Semai	tics		ParaL
Method	#Params	Corpus	SID	ASV	SD	PR	ASR	OOD-ASR	KS	QbE	ST	IC		SF	ER
		Corpus	$Acc\uparrow$	EER↓	DER↓	$PER \downarrow$	WER↓	WER↓	$Acc\uparrow$	MTWV ↑	BLEU ↑	$Acc\uparrow$	F1↑	CER↓	$Acc\uparrow$
FBANK	0	-	8.5E-4	9.56	10.05	82.01	23.18	63.58	8.63	0.0058	2.32	9.10	69.64	52.94	35.39
PASE+ (Ravanelli et al., 2020)	7.83M	LS 50 hr	37.99	11.61	8.68	58.87	25.11	61.56	82.54	0.0072	3.16	29.82	62.14	60.17	57.86
APC (Chung et al., 2019)	4.11M	LS 360 hr	60.42	8.56	10.53	41.98	21.28	63.12	91.01	0.0310	5.95	74.69	70.46	50.89	59.33
VQ-APC (Chung et al., 2020)	4.63M	LS 360 hr	60.15	8.72	10.45	41.08	21.20	63.56	91.11	0.0251	4.23	74.48	68.53	52.91	59.66
NPC (Liu et al., 2020a)	19.38M	LS 360 hr	55.92	9.40	9.34	43.81	20.20	61.66	88.96	0.0246	4.32	69.44	72.79	48.44	59.08
Mockingjay (Liu et al., 2020c)	85.12M	LS 360 hr	32.29	11.66	10.54	70.19	22.82	65.27	83.67	6.6E-04	4.45	34.33	61.59	58.89	50.28
TERA (Liu et al., 2020b)	21.33M	LS 960 hr	57.57	15.89	9.96	49.17	18.17	58.49	89.48	0.0013	5.66	58.42	67.50	54.17	56.27
DeCoAR 2.0 (Ling & Liu, 2020)	89.84M	LS 960 hr	74.42	7.16	6.59	14.93	13.02	53.62	94.48	0.0406	9.94	90.80	83.28	34.73	62.47
modified CPC (Rivière et al., 2020)	1.84M	LL 60k hr	39.63	12.86	10.38	42.54	20.18	62.54	91.88	0.0326	4.82	64.09	71.19	49.91	60.96
wav2vec (Schneider et al., 2019)	32.54M	LS 960 hr	56.56	7.99	9.9	31.58	15.86	55.86	95.59	0.0485	6.61	84.92	76.37	43.71	59.79
vq-wav2vec (Baevski et al., 2020a)	34.15M	LS 960 hr	38.80	10.38	9.93	33.48	17.71	60.66	93.38	0.0410	5.66	85.68	77.68	41.54	58.24
Wav2vec 2.0 Base (Baevski et al., 2020b)	95.04M	LS 960 hr	75.18	6.02	6.08	5.74	6.43	46.95	96.23	0.0233	14.81	92.35	88.30	24.77	63.43
HuBERT Base (Hsu et al., 2021)	94.68M	LS 960 hr	81.42	5.11	5.88	5.41	6.42	46.69	96.30	0.0736	15.53	98.34	88.53	25.20	64.92
WavLM Base (Chen et al., 2022a)	94.70M	LS 960 hr	84.51	4.69	4.55	4.84	6.21	42.81	96.79	0.0870	20.74	98.63	89.38	22.86	65.94
SpeechLM-H Base	94.70M	LS 960 hr	75.12	6.76	6.48	4.2	5.56	45.78	96.04	0.0526	20.72	97.6	88.76	23.49	63.31
SpeechLM-P Base	94.70M	LS 960 hr	75.11	6.13	6.56	2.43	4.22	47.22	94.61	0.0458	22.79	98.6	89.4	22.36	62.09

□ Compared to the previous self-supervised learning methods, SpeechLM achieves better performance on several content-related and semantic-related tasks, such as PR, ASR, ST, and SF.



SpeechLM: Visualization Analysis

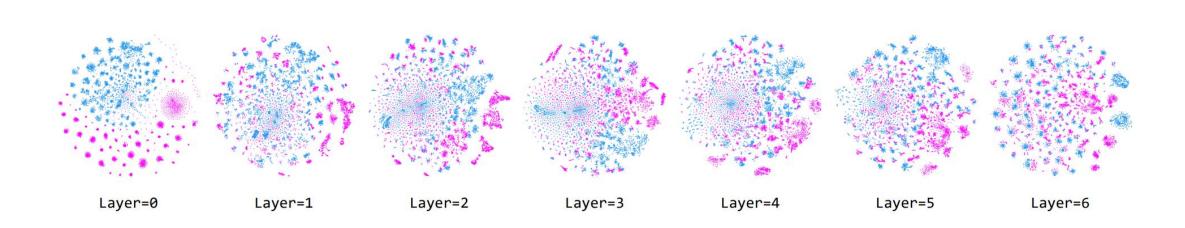


Figure: Layer-wise visualization of the Shared Transformer in SpeechLM-P Base. Frame-wise representations of unpaired speech (blue) and phonemes (red) are present.



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- Summary



VATLM: Motivation

- Previous multi-modal (visual, speech, text) pre-training approaches mainly focus on visual-language tasks and cannot be extended to other spoken language processing tasks, such as AVSR.
- Previous speech representation learning methods can not make full use of diverse corpora, e.g., visual-audio pairs, audio-text pairs, and unlabeled speech and text, without considering both the visual and textual information.
- Previous methods mostly depend on a complicated model architecture and pretraining objects, **lacking a unified multi-modal framework for different modalities modeled in the same semantic space.**

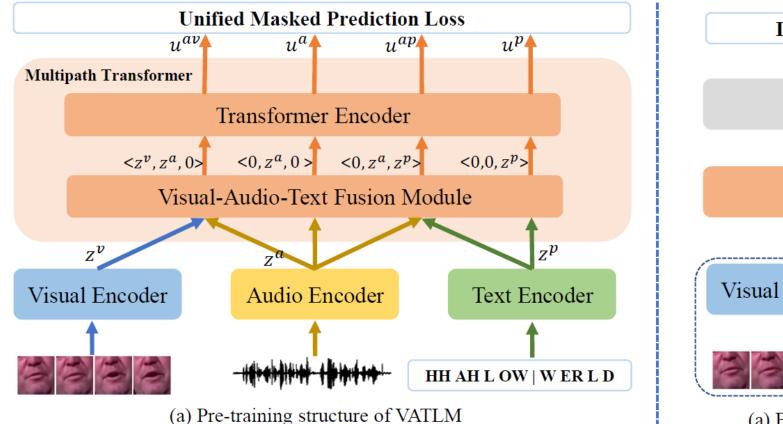


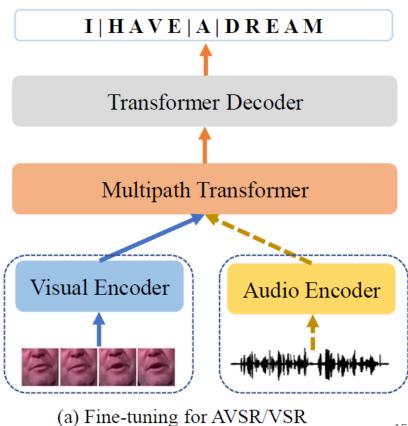
AV-HuBERT



VATLM: Framework

• One shared model, one shared pre-training loss





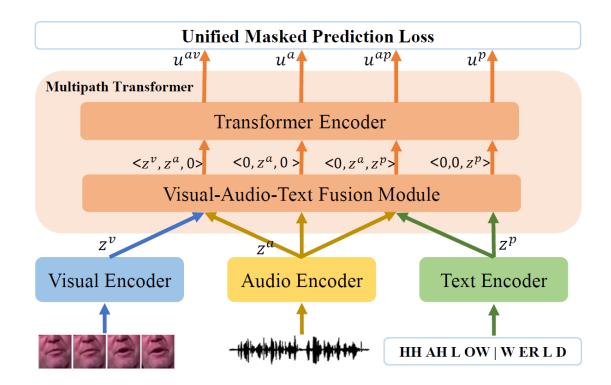


VATLM: Multipath Transformer

- Preprocessing modules for visual, audio, and text
 - Visual encoder (ResNet)
 - Audio encoder (linear layer)
 - Text encoder (embedding layer)
- Visual-audio-text fusion module

 $z^f = \operatorname{concat}(z^v, z^a, z^p)$

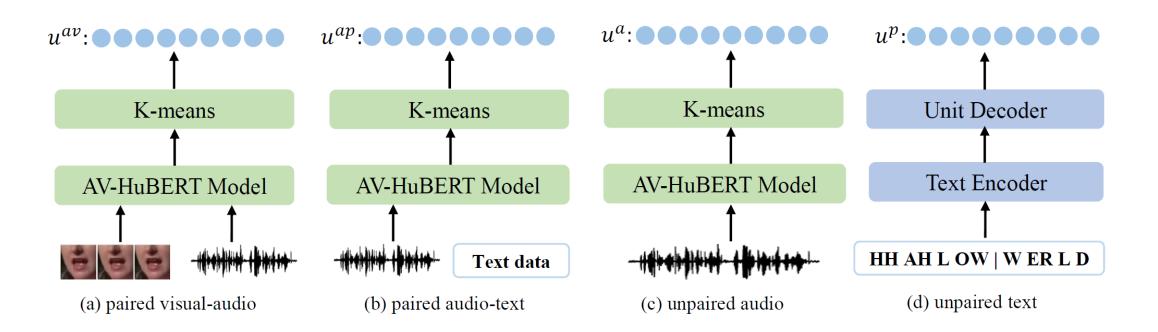
• Transformer encoder





VATLM: Unified Tokenizer

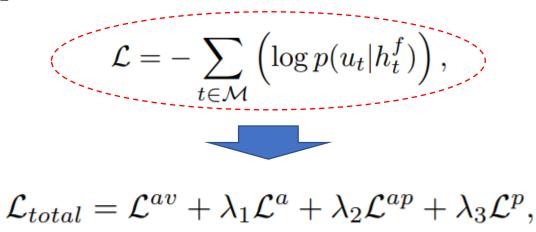
• The unified tokenizer generates the shared hidden units from different modalities and data resources.





VATLM: Masked Prediction Loss

- Existing multi-modal pre-training work usually employs multiple pre-training objectives, such as masked language modeling, contrastive learning, speech-text matching, image-text matching, and so on.
- We pre-train VATLM via a unified masked prediction objective on both mono-modal (i.e., audio and texts) and multi-modal data (i.e., audio-visual pairs and audio-text pairs).



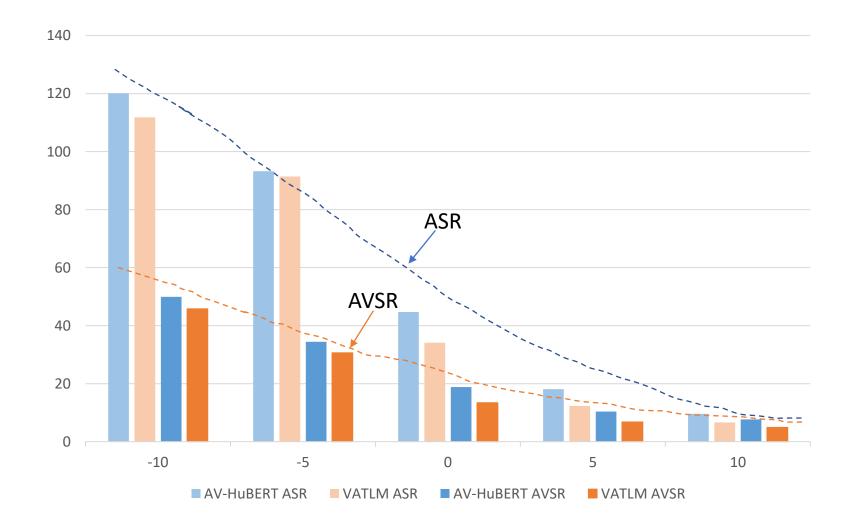


VATLM: AVSR/VSR Evaluation

Method	Backbone Criterio		Extra labeled (hrs)	Fine-tuned data (hrs)	Pre-trained AV data (hrs)	AVSR WER (%)	VSR WER (%)
Supervised							
Zhang et al. [59]	CNN	CE	157	698	-	-	60.1
Afouras et al. [24]	Transformer	CE	157	1362	-	7.2	58.9
Xu et al. [18]	RNN	CE	157	433	-	7.2	57.8
Shillingford et al. [60]	RNN	CTC	-	3886	-	-	55.1
Ma et al. [25]	Conformer	CTC+CE	-	433	-	-	46.9
Ma et al. 25	Conformer	CTC+CE	157	433	-	2.3	43.3
Makino et al. [19]	RNN	Transducer	-	31000	-	-	33.6
Self-supervised & Semi-supervised							
Afouras et al. [41]	CNN	CTC	157	433	334		59.8
Zhang et al. [33]	Transformer-Base	CTC	-	30	433	9.1	67.8
Ma et al. [42]	Transformer-Base	CE	-	30	433	-	71.9
What et al. $[42]$		CE	-	433	1759	-	49.6
			-	30	433	-	51.8
	Transformer-Base	CE	-	30	1759	4.0	46.1
AV-HuBERT [30], [34]			-	433	1759	-	34.8
AV-HUDEKI [50], [54]	Transformer-Large	CE	-	30	1759	3.3	32.5
			-	433	1759	1.4	28.6
AV-HuBERT (w/ self-training) [30]	Transformer-Large	CE	-	30	1759	-	28.6
AV-HUDEKI (W/ sell-training) [50]	fransformer-Large	CE	-	433	1759	-	26.9
	Transformer-Base	CE	-	30	433	3.6	48.0
VATLM (ours)			-	30	1759	3.4	42.6
			-	433	1759	1.7	34.2
	Transformer-Large	CE	-	30	1759	2.7	31.6
	rransformer-Large	UE	-	433	1759	1.2	28.4
VATLM (w/ self-training)	Transformer-Large	CE	-	30	1759	2.7	27.6
vALLWI (W/ Sell-Ualling)	rransiormer-Large	UE	-	433	1759	1.2	26.2



VATLM: Robustness Evaluation





VATLM: Visualization Analysis

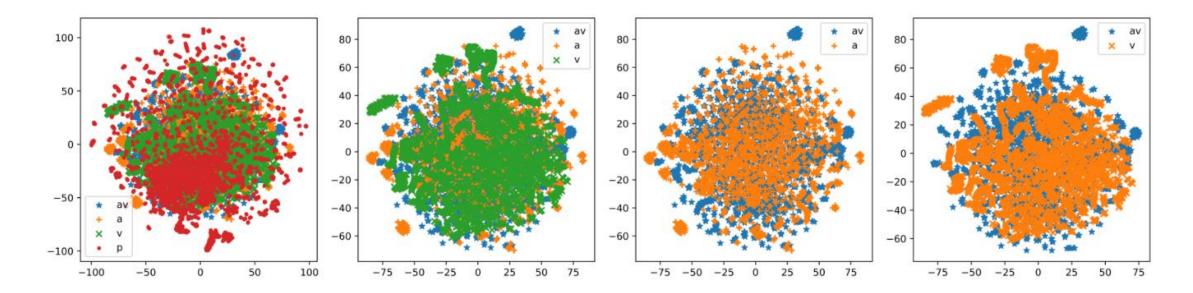


Figure: The 2D t-SNE visualization of representations obtained from data with different modalities, where 'av' in the figure denotes data of audio-visual modality, 'a' denotes data of audio modality, 'v' denotes data of visual modality, and 'p' denotes data of text modality.



Outline

- Background
 - Text/speech pre-training
 - The big convergence
- Our Work
 - SpeechT5: Unified-modal encoder-decoder pre-training for speech tasks
 - SpeechUT: Bridge speech and text with hidden unit for enc-dec pre-training
 - SpeechLM: Enhanced speech pre-training with unpaired textual data
 - VATLM: Visual-audio-text pre-training for speech representation learning
- Summary



Summary

• Comparison among different models

	SpeechT5	SpeechUT	SpeechLM	VATLM	
Model architecture	Encoder- decoder	Encoder- decoder	Encoder	Encoder	
Data sources	Speech/text	Speech/text	Speech/text	Speech/text/ visual	
Need small paired data	No	Yes	Yes	Yes	
Pre-training tasks	MLM/L1/MLE	MLM/CE	MLM/CTC	MLM	
Fine-tuning tasks	Universal	ASR/ST	Universal	VSR/AVSR	



Summary

- The big convergence across modalities (e.g., speech, text, and, image, and video) is emerging in recent years.
- We explored the unified-modal self-supervised representation learning, mainly for speech processing tasks, and proposed SpeechT5, SpeechUT, SpeechLM, and VATLM models.
- All code and models are available at:

https://github.com/microsoft/SpeechT5 https://github.com/microsoft/unilm



Challenges

- Joint speech and text modeling
 - Remove the need of small paired data
 - Deeply integrate the language model ability
 - Extend to natural language tasks
- Speech/audio generation
 - Text controllable speech/audio generation
 - Cross-lingual speech synthesis
 - Speech to speech translation with voice reservation
- Big convergence across modalities
 - Large-scale model training with more data
 - Integrate diverse video and audio data
 - Build universal large language model



Thank You!

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